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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Alvin Lo et al.

Appln. No. : 10/727,176

Filed : December 3, 2003

For : MODEL DEFINITION SCHEMA

Docket No.: M61.12-0560

Group Art Unit: 2171

Examiner: ---

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

25<sup>th</sup> DAY OF June, 2004  
Christopher Z. Holo  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. \_\_\_\_\_ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. \_\_\_\_\_ a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement

under 37 C.F.R. § 1.97(c).

3. \_\_\_\_\_ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

\_\_\_\_\_ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

\_\_\_\_\_ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

  x   No fee is required.

       Attached is a check in the amount of \$       .

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,


WESTMAN, CHAMPLIN & KELLY, P.A.

By:

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CLH:sew

FORM PTO-1449		Atty. Docket No.: M61.12-0560	Appl. No.: 10/727,176
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Alvin Lo et al.	
		Filing Date	Group Art:
		December 3, 2003	2171

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AI	XML.com, "Mapping DTDs to Databases," <a href="http://www.xml.com/lpt/a/2001/05/09/dtdtodbs.html">http://www.xml.com/lpt/a/2001/05/09/dtdtodbs.html</a> , May 19, 2001
AJ	XML.com, "RAX: An XML Database API," <a href="http://www.xml.com/lpt/a/2000/04/26/rax/index.html">http://www.xml.com/lpt/a/2000/04/26/rax/index.html</a> , April 26, 2000
AK	HiTSoftware, "Unifying XML and Relational Database Application Development," January 2003, pages 1-10
AL	Braganholo, et al., "On the Updatibility of XML Views Over Relational Databases," International Workshop on the Web and Databases (WebDB), June 12-13, 2003, San Diego, California
AM	Meng, et al., "OrientStore: A Schema Based Native XML Storage System," 29 <sup>th</sup> VLDB Conference, Berlin, German 2003
AN	Barrett, Alexandra, "Databases Embrace XML," SW Expert, August 2001, pages 43-47
AO	Coox, S.V., "Axiomatization of the Evolution of XML Database Schema," Programming Computer Software, Vol. 29, No. 3, 2003, pages 1-7, January 29, 2003
AP	Hahsler, Dr. Michael. "Knowledge Management Data Warehouses and Data Mining," Department of Information Processing, Vienna University of Economics and BA, December 11, 2001, pages 2-29

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.